

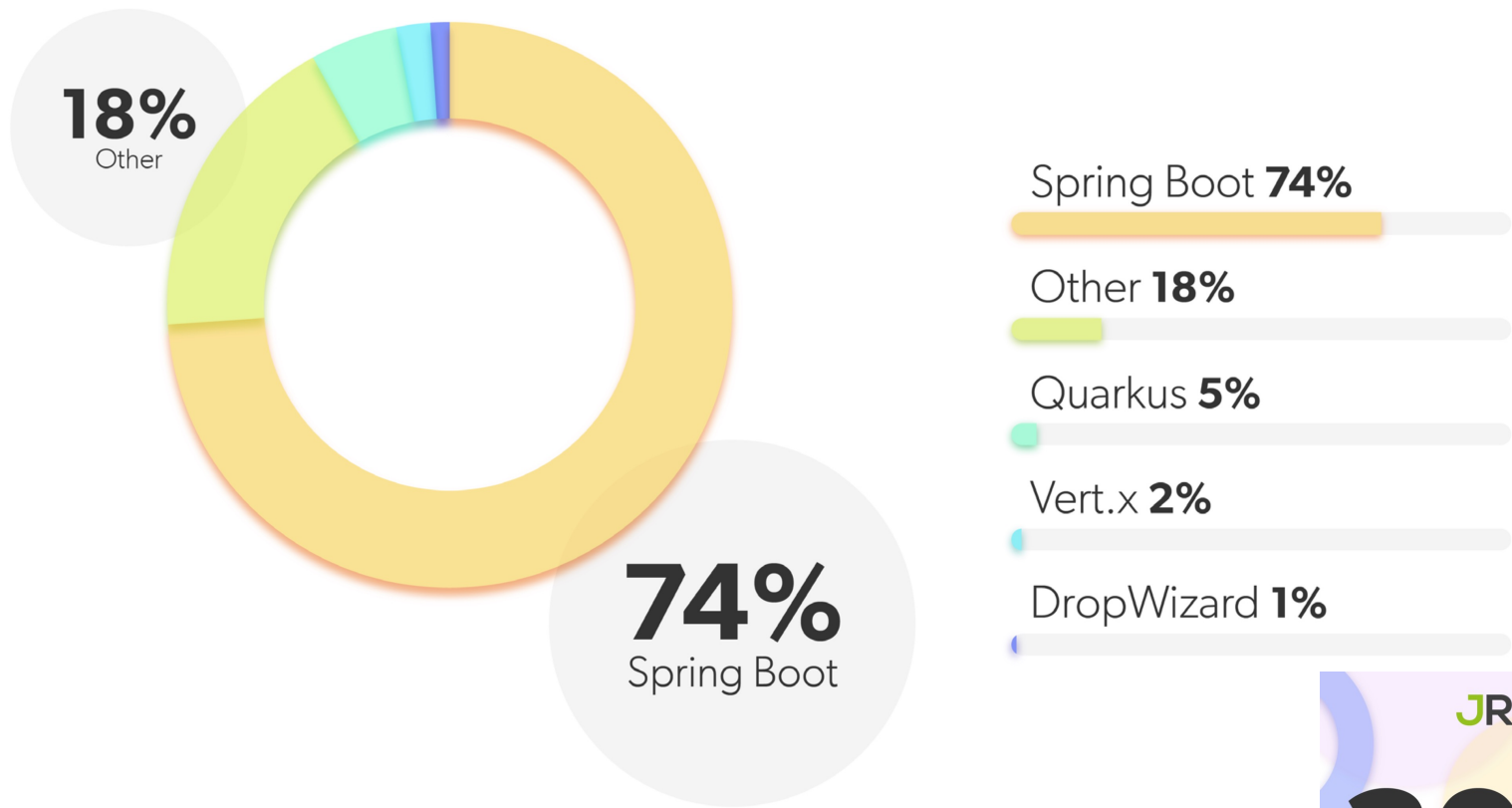
SPRING BOOT 3 SPRING FRAMEWORK 6

MAGNUS LARSSON

CADEC 2023.01.19 & 2023.01.25 |
CALLISTAENTERPRISE.SE

CALLISTA

What Microservice Application Framework are You Using on Your Main Project?



AGENDA

- Overview
- Migration
- Native Compile
- Observability
- Summary

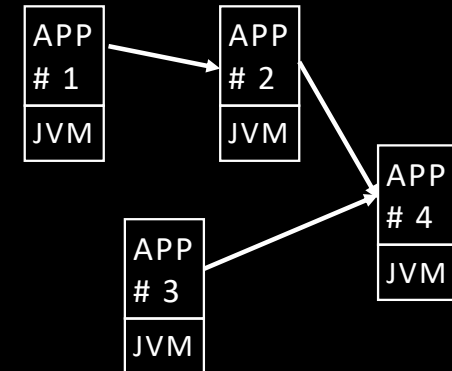
OVERVIEW

- The evolution of **Java**



New Requirements

- Faster startup
 - Shorten warmup
- Less memory
- Scalability
 - Scale to zero
- Observability



Application Servers



Distributed Systems

2000

2023

OVERVIEW

- The evolution of **Java**

Emerging OpenJDK projects:

- CRaC, Amber, Valhalla, Leyden, and Panama

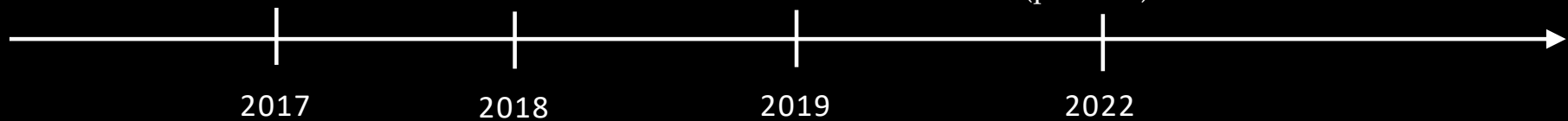
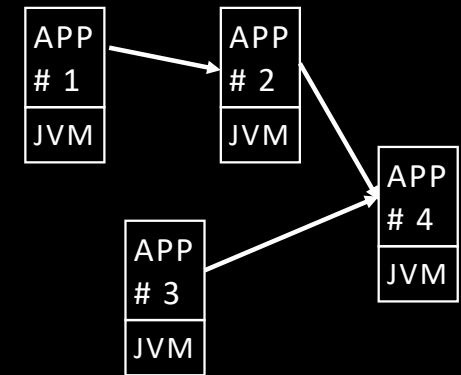


Java SE 9
- Modules

Java SE 10
- Docker

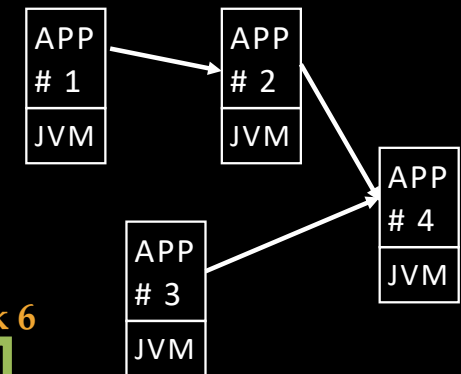
OpenJDK Graal VM
- Native compile

Java SE 19
- Project Loom,
Virtual Threads
(preview)



OVERVIEW

• The evolution of Spring



Spring Boot 1
Spring Framework 4

- Convention over Configuration
- Make JAR nor WAR
- Dependency Mgmt, **starters** and **bom**

Spring Boot 2
Spring Framework 5

- Reactive programming model
- Production features **actuators** health, monitoring, metrics

Spring Boot 3
Spring Framework 6

- Native Compile
- Observability
- Virtual Threads

THIS PRESENTATION

NEXT PRESENTATION



AGENDA

- Overview
- **Migration**
- Native Compile
- Observability
- Summary

MIGRATION

- Java 17 baseline
- Jakarta EE: Package rename: `javax` → `jakarta`
 - E.g. JPA: `javax.persistence.*` → `jakarta.persistence.*`

- Deprecated code in 2.x removed

```
tasks.withType(JavaCompile) {  
    options.compilerArgs += ['-Xlint:deprecation']  
}
```

- Breaking changes etc
 - [Spring Boot 3.0 Migration Guide](#)
 - [Spring Security 6.0 Migration Guide](#)
- Importance of end-to-end black-box tests
 - Run them before and after the migration!

AGENDA

- Overview
- Migration
- **Native Compile**
- Observability
- Summary

NATIVE COMPILE

For details on GraalVM Native Image, see this presentation

- Problem definition from Cadec 2021 - [GraalVM Native Image](#)

JVM based Micro Services

1. Large memory footprint
2. Long startup time
3. Initial warmup required (JIT)

Makes it expensive for large systems and impossible to scale to zero.

NATIVE COMPILE

• Concerns from Cadec 2021

Develop and Build Findings

- Unable to compile static executable and build from a scratch docker-image
 - Both Go and GraalVM native executables depends on shared C/C++ libraries
 - Google's `gcr.io/distroless/base` is used instead
- Even minor changes breaks the build
 - Spring Boot 2.4.0-RC1 to 2.4.0 release update
 - Graal 20.2 to 20.3 minor update
 - Use of new features from existing 3rd party libraries
 - Adding 3rd party libraries
- Discrepancy between dev and runtime environments
- What's the credibility of unit tests

- Frameworks/libs without native support
- Use and maintain configurations for Reflection, Proxies, Resources and JNI

Road to Enable Native

GraalVM 20.3

1. Upgrade to Spring Boot 2.4
2. Add GraalVM native support. Substrate VM (`svm`)
3. Add Spring native support (`spring-graalvm-native`)
4. Create build script or use maven plugin (`build.sh`)
5. Declare all Reflections (for DTO beans) and resources
 - Manually or use `native-image-agent` to generate
6. Compile, run and fix remaining stuff (trial and error)
 - Reflection config for Kafka and JSON serializers
 - Resource config for Kafka
 - Substitute Kafka class using Method Handles

Requires Configuration

- Reflections, Dynamic Class Loading
- Dynamic Proxies (JDK)
- Resource Access
- Java Native Interface (JNI)

```
native-image --initial  
[total]: 687,593.47 ms
```

NATIVE COMPILE

- Outcome from Cadec 2021



Dear fellow JVM'ers!

"There's no Holy Graal, just loads of hard work and Java."

- Me

- Is it better now?



■ NATIVE COMPILE

- With Spring Boot 3 and Spring Framework 6
 - Compile Spring Boot applications into standalone executables, called a **native image**
 - Uses GraalVM **native-image** compiler
 - » New build module **Spring AOT**
 - » Supersedes Spring Native
- Benefits
 - Shorter startup times
 - No warm-up required
 - Less memory required
 - Fit for scaling up and down
 - » Even to zero

NATIVE COMPILE

- Spring AOT

- Creates and inspects an `ApplicationContext`

```
public static void main(String[] args) {  
    ApplicationContext ctx = SpringApplication.run(ProductServiceApplication.class, args);  
}
```

- Closed world assumption

- » Classpath fixed and Spring Beans are defined at build time
- » Minimize memory footprint

- Generates start-up code

- » Creates a static `ApplicationContext`
- » Programmatic registration of Spring Beans

Replaces the slow
reflection based startup

```
product-service  
✓ build / generated  
✓ aotSources / se / magnus / microservices / core / product  
  J ProductServiceApplication__ApplicationContextInitializer.java  
  J ProductServiceApplication__Autowiring.java  
  J ProductServiceApplication__BeanDefinitions.java
```

■ NATIVE COMPILE

- Spring AOT
 - Generates native configuration
- Recall from Cadec 2021:
 - GraalVM **native-image** compiler transforms Java bytecode to an executable image
 - Can't figure out dynamic behavior
 - E.g. use of reflection, dynamic proxies, and local resources
 - Described in a native configuration

NATIVE COMPILE

- Spring AOT
 - Generates native configuration
 - Sample of generated native configuration

```
▼ aotResources [aot] resources root
  ▼ META-INF.native-image.se.magnus.microservices.core.product.product-service
    ⚙ native-image.properties
    {} proxy-config.json
    {} reflect-config.json
    {} resource-config.json
    {} serialization-config.json
```

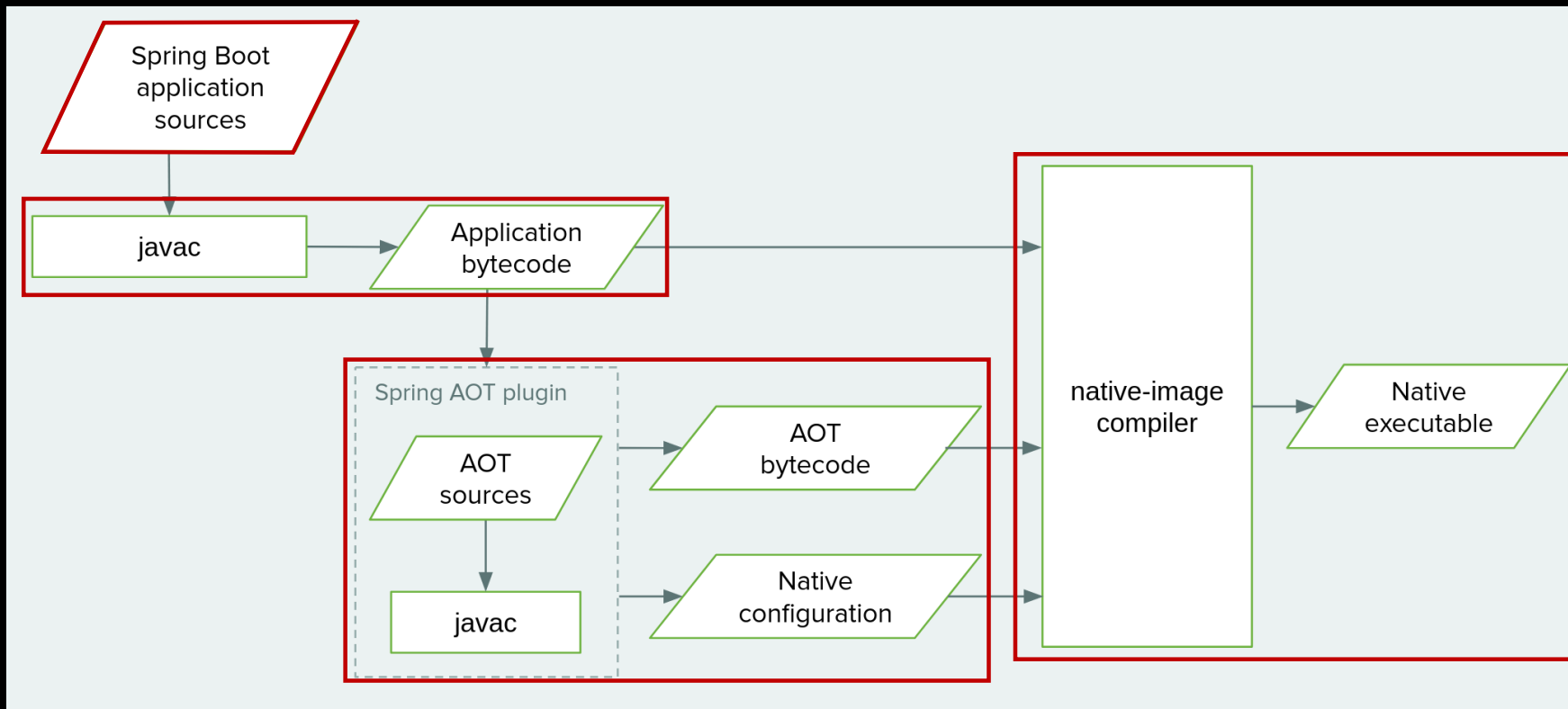
- If Spring AOT fails, we can add native hints
 - » E.g. JSON mapping with Jackson

```
@RegisterReflectionForBinding({Event.class, Product.class})
```

- » Sample error message:

```
Error: No serializer found for class se.magnus.util.event.Event
This appears to be a native image, in which case you may need to configure reflection
```


NATIVE COMPILE



<https://spring.io/blog/2021/12/09/new-aot-engine-brings-spring-native-to-the-next-level>

■ NATIVE COMPILE

- More on Spring AOT

- AOT tests

- » Builds a native image and runs tests inside it

- ```
./gradlew nativeTest
```

- » Detects missing Spring Beans and Reflection metadata

- » Best to run in a CI/CD build pipeline

- Use AOT start-up code **with Java VM** (a.k.a AOT mode)

- » Shorten startup time in Java VM with **≈20%**

- ```
java -Dspring.aot.enabled=true -jar app.jar
```

- » Log output

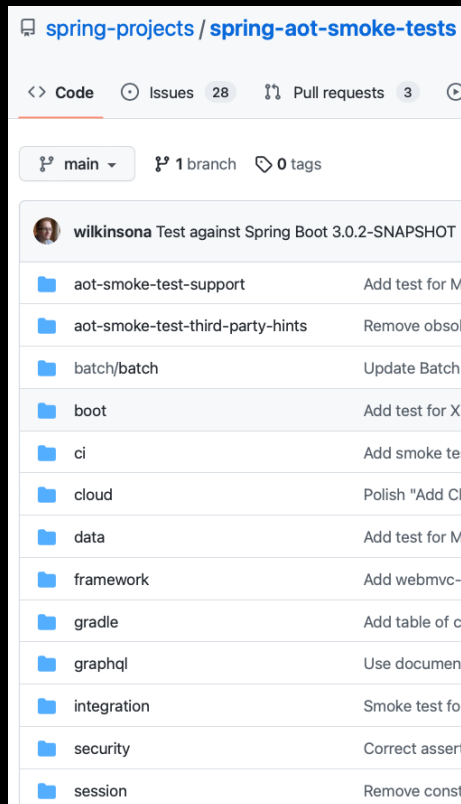
- ```
... Starting AOT-processed ProductServiceApplication using Java 17.0.5 ...
```

# NATIVE COMPILE

- Stability over time

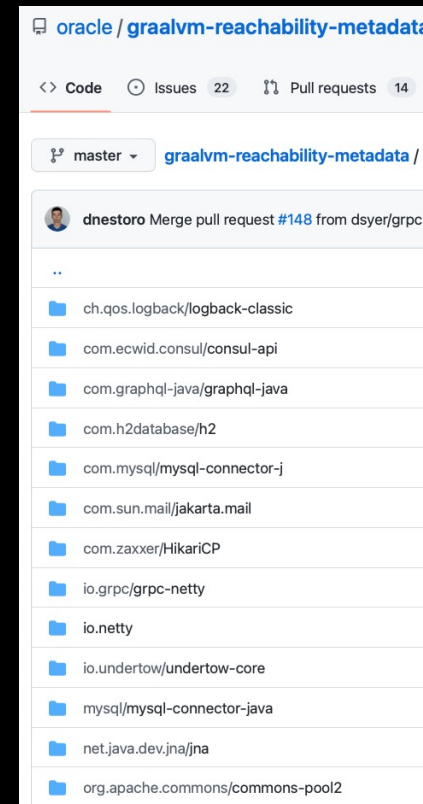
- Spring ecosystem

- » Spring AOT smoke tests



- 3PP libraries

- » GraalVM reachability metadata



## NATIVE COMPILE

- How?

- Add GraalVM's build plugin:

```
plugins {
 id 'org.graalvm.buildtools.native' version '0.9.18'
```

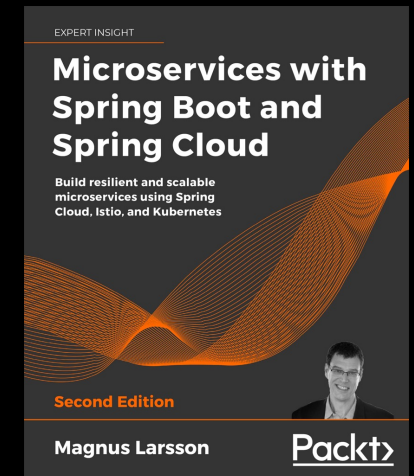
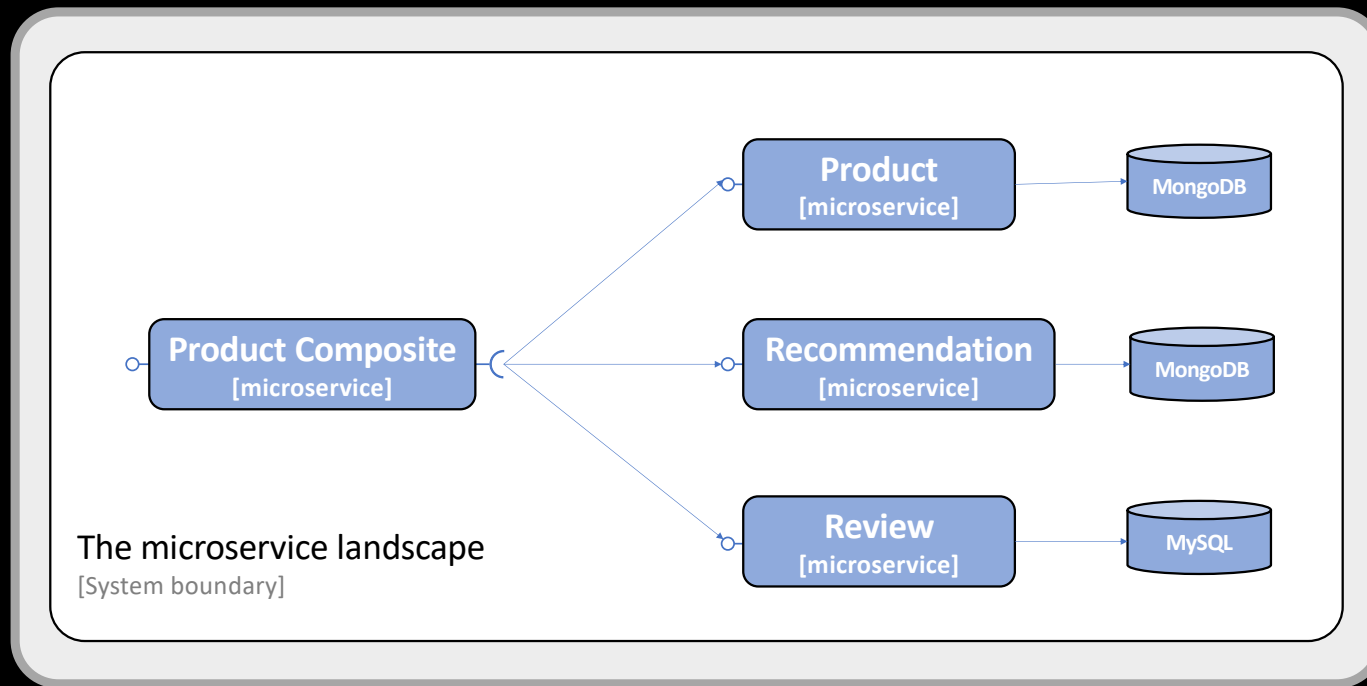
- Build a native image: **./gradlew nativeCompile**
  - » Requires GraalVM JDK and native-image compiler
  - » OS & HW specific, e.g. macOS and ARM64
- Build Docker image: **./gradlew bootBuildImage**
  - » HW specific, e.g. Intel x86\_64

- Ongoing work

- [GraalVM - Cross-compilation support](#)
- [Paketo buildpacks - Add support for ARM64](#)
- [Paketo buildpacks - 2023 Roadmap](#)
- [Callista blog post - Docker images on ARM64](#)

## TEST: NATIVE COMPILE

- System landscape from the 2ed of my book
  - Migrated to Spring Boot 3



## TEST: NATIVE COMPILE TIMES

- Compile times with `./gradlew nativeCompile`

- Minimal Spring Boot app

```
Finished generating 'demo' in 32,3s.
[native-image-plugin] Native Image written to: /Users/magnus/
```

- The Product service from the test landscape

```
Finished generating 'product-service' in 1m 41s.
[native-image-plugin] Native Image written to: /Users/magnus/
```

- Not fast enough for a TDD – loop, but sufficient for a CI/CD build pipeline

- But significantly better than 2021

```
native-image --initial
[total]: 687,593.47 ms
```

## TEST: STARTUP TIMES

- Java VM microservices

- Started ProductServiceApplication in **4.988** seconds
- Started ProductCompositeServiceApplication in **5.495** seconds
- Started ReviewServiceApplication in **5.442** seconds
- Started RecommendationServiceApplication in **4.886** seconds

- Native image microservices

- Started ProductCompositeServiceApplication in **0.148** seconds
- Started RecommendationServiceApplication in **0.198** seconds
- Started ProductServiceApplication in **0.184** seconds
- Started ReviewServiceApplication in **0.229** seconds

Native image app  
starts up 25 times  
faster than JVM app

## TEST: MEMORY USAGE AFTER STARTUP

- Java VM microservices

|                       |          |
|-----------------------|----------|
| - review-1            | 239.2MiB |
| - product-composite-1 | 216.5MiB |
| - product-1           | 212.6MiB |
| - recommendation-1    | 215.5MiB |

- Native image microservices

|                       |          |
|-----------------------|----------|
| - product-1           | 78.53MiB |
| - recommendation-1    | 78.55MiB |
| - product-composite-1 | 55.84MiB |
| - review-1            | 70.14MiB |

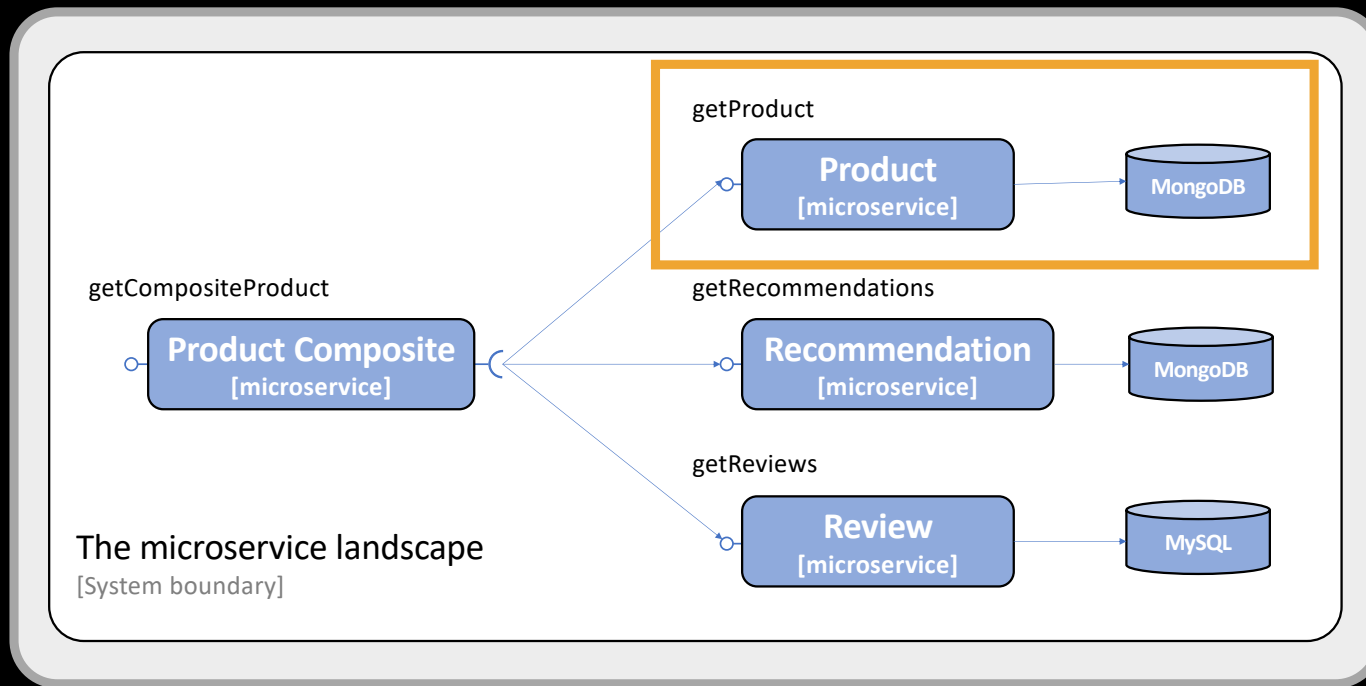
Native image app  
requires less memory  
to startup.

But what happens over time?



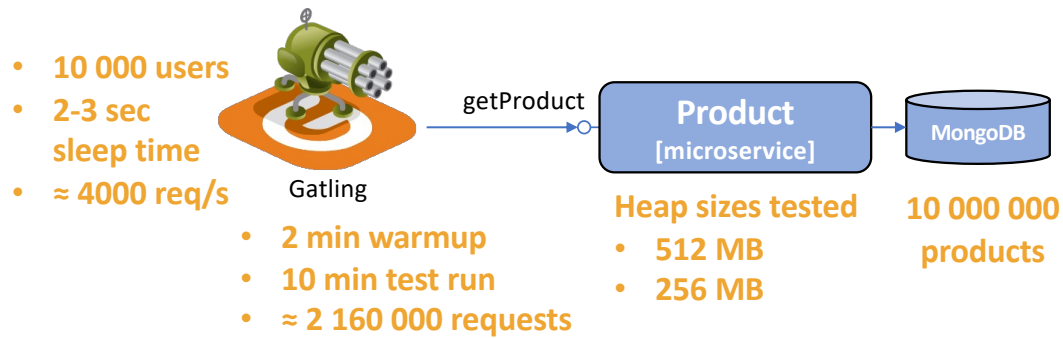
# TEST: RESOURCE USAGE OVER TIME

- Test scope



## TEST: RESOURCE USAGE OVER TIME

- Test setup



The microservice landscape  
[System boundary]

## TEST: RESOURCE USAGE OVER TIME

- Test results

| Test case      | No of calls | RSS mem (MB) | CPU time (min:sec) | Threads | 50 % (ms) | 95 % (ms) |
|----------------|-------------|--------------|--------------------|---------|-----------|-----------|
| Native, 256 MB | 2 159 056   | 206          | 20:54              | 42      | 2         | 11        |
| JVM, 256 MB    | 2 162 800   | 235          | 15:43              | 54      | 2         | 5         |
| Native, 512 MB | 2 158 443   | 220          | 20:23              | 42      | 2         | 9         |
| JVM, 512 MB    | 2 163 090   | 347          | 15:52              | 54      | 2         | 5         |

## NATIVE COMPILE

- Reiterate the concerns from Cadec 2021

### Develop and Build Findings

- Unable to compile static executable and build from a scratch docker-image
  - Both Go and GraalVM native executables depends on shared C/C++ libraries
  - Google's go
- Even minor
  - Spring Boot
  - Graal 20.2
  - Use of new features from existing 3rd party libraries
  - Adding 3rd party libraries
- Discrepancy between dev and runtime environments
- What's the credibility of unit tests

- Experimental Spring Native replaced by Spring AOT
- Tests can run in AOT mode

- Fram
- Use a
- JNI
- Spring smoke test project
- GraalVM reachability metadata project

### Road to Enable Native

#### GraalVM 20.3

1. Upgrade to Spring Boot 2.4
2. Add GraalVM native support. Substrate VM ([svm](#))

- Simply add GraalVM's build plugin

5. Declare all Reflections (for DTO beans) and resources
  - Manually or use [native-image-agent](#) to generate
6. Compile, run and fix remaining stuff (trial and error)
  - Reflection config for Kafka and JSON serializers
  - Resource config for Kafka
  - Substitute Kafka class using Method Handles

- In general, much less of a problem
- When needed, use Spring annotations
- Worst case, use GraalVM's Tracing Agent

- Native compile in a minute or two

## ■ NATIVE COMPILE

- Summary
  - Concerns from Cadec 2021 mitigated with Spring Boot 3
  - **Startup:** Native 25 times faster than JVM
  - **Memory:** Native beats JVM
  - **CPU:** JVM Hotspot beats native
  - Try it out, if start-up time is important!

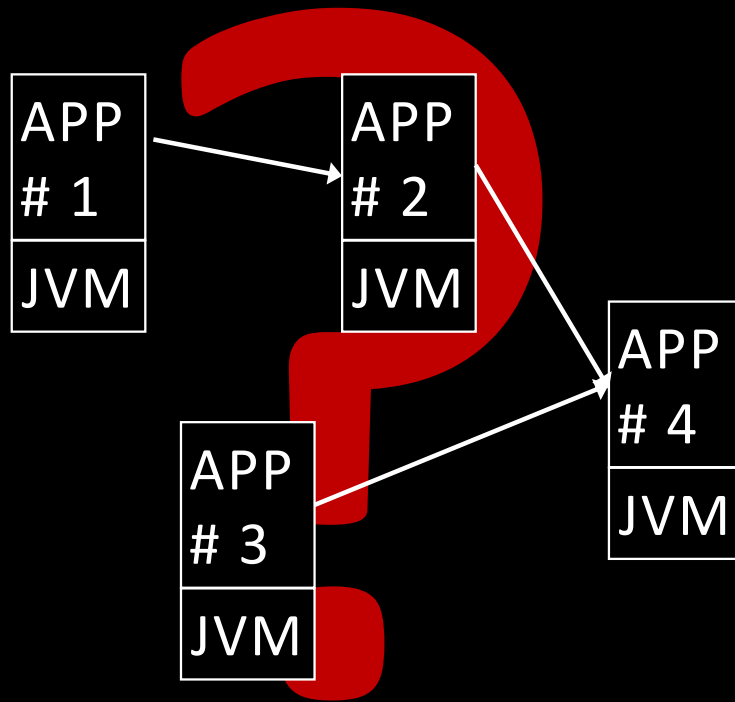


## AGENDA

- Overview
- Migration
- Native Compile
- **Observability**
- Summary

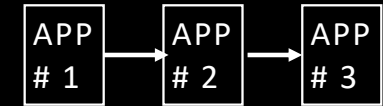
## OBSERVABILITY

- Observability = Logging + Tracing + Metrics

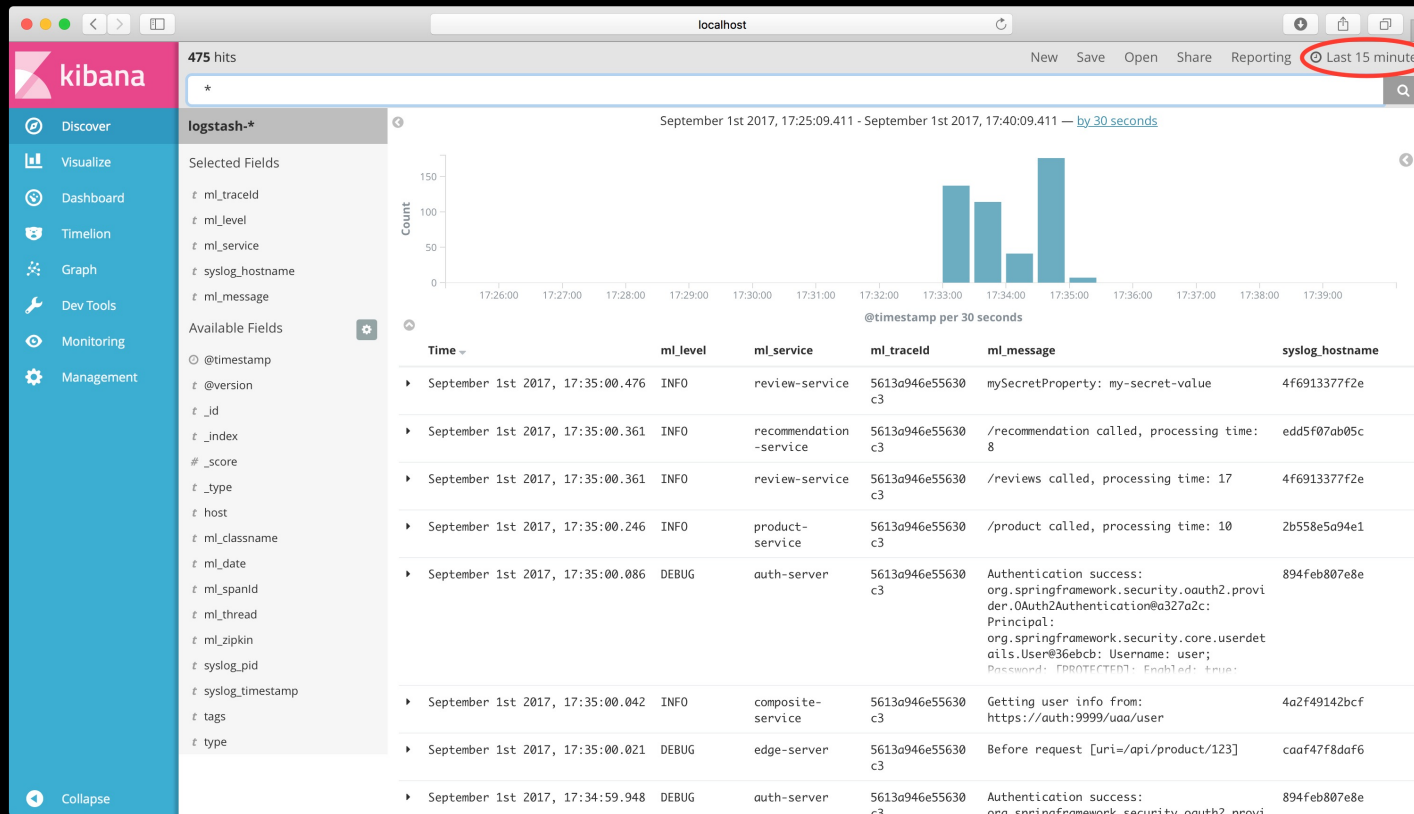


# OBSERVABILITY

- Observability = **Logging** + Tracing + Metrics



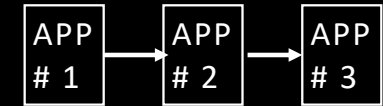
WHAT HAPPENED?



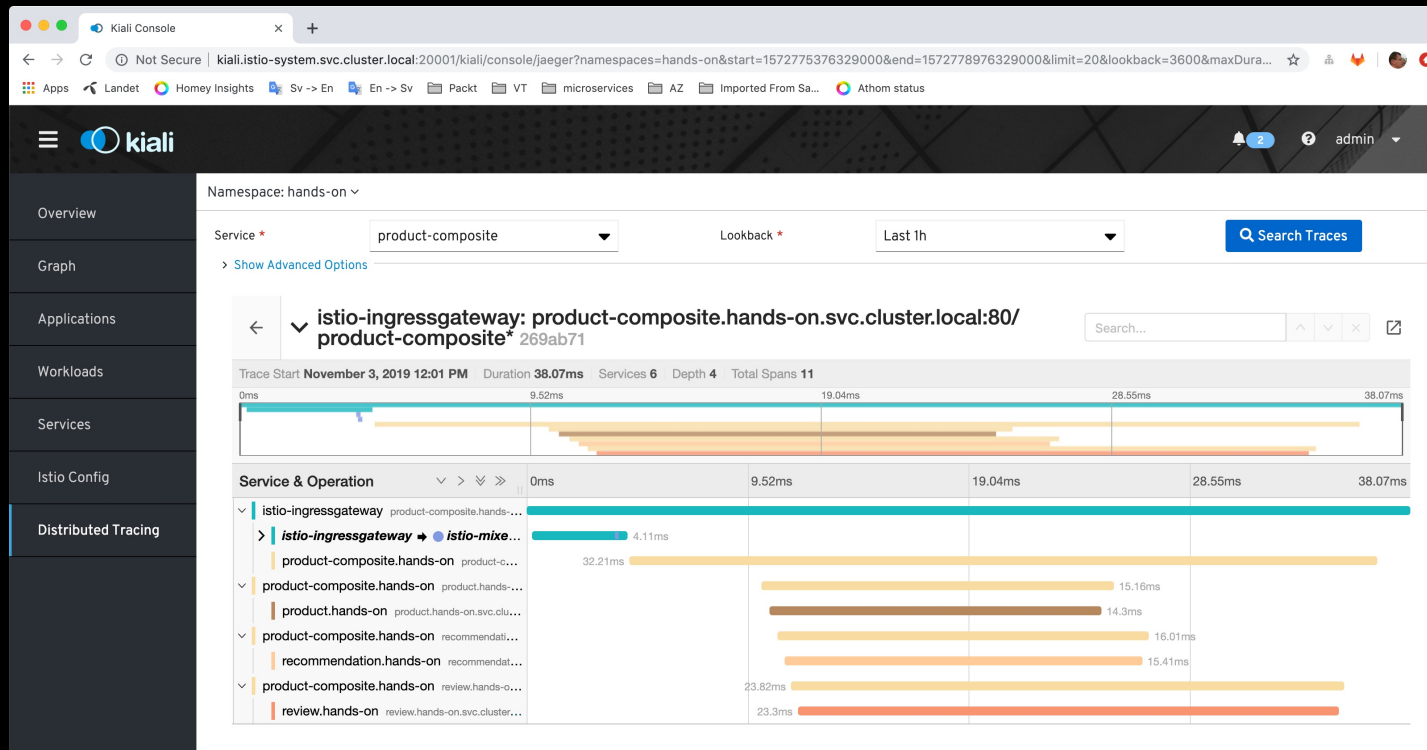


# OBSERVABILITY

- Observability = Logging + **Tracing** + Metrics

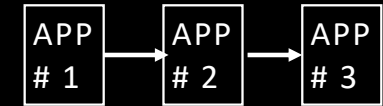


PROCESSING TIME?

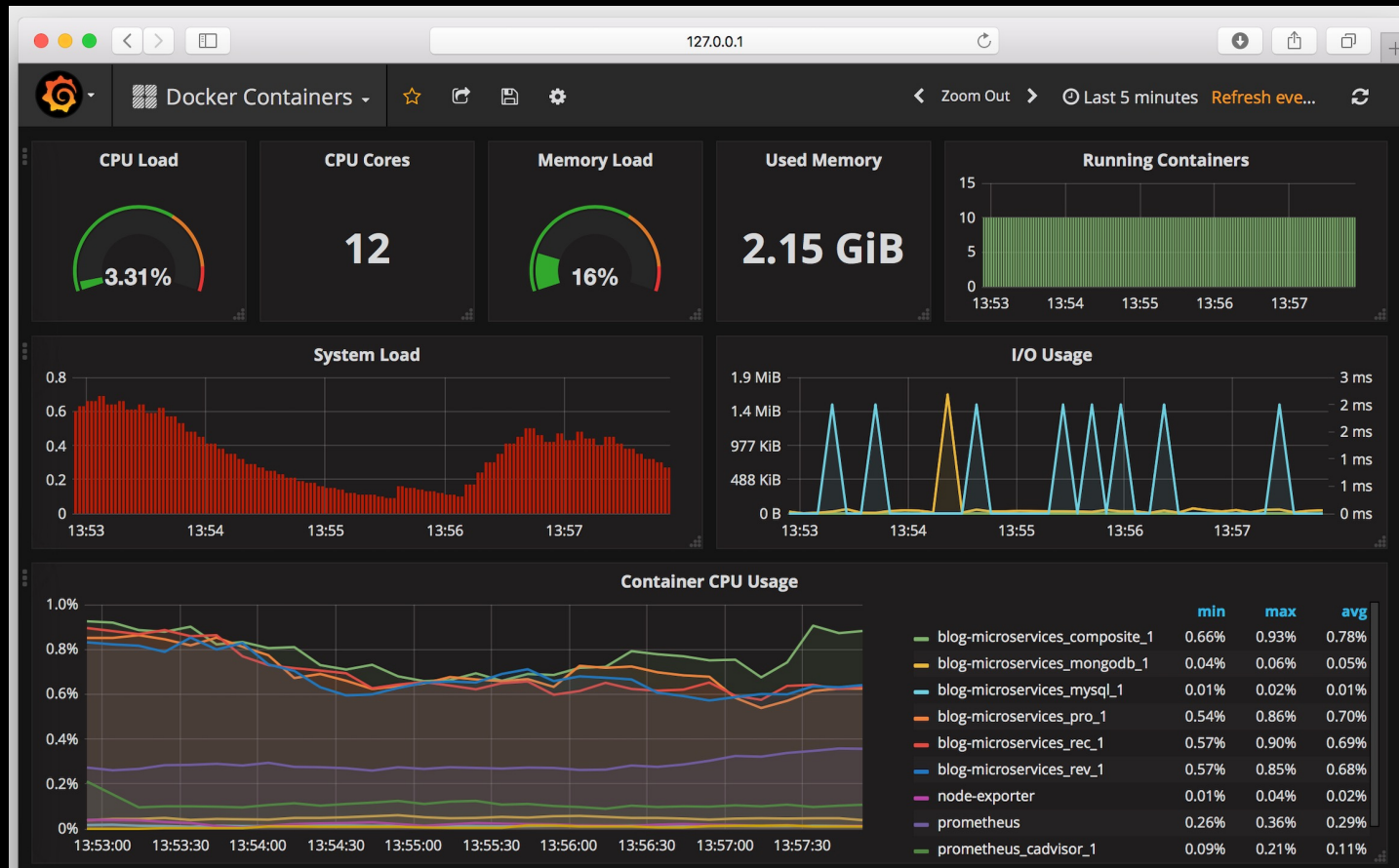


# OBSERVABILITY

- Observability = Logging + Tracing + **Metrics**

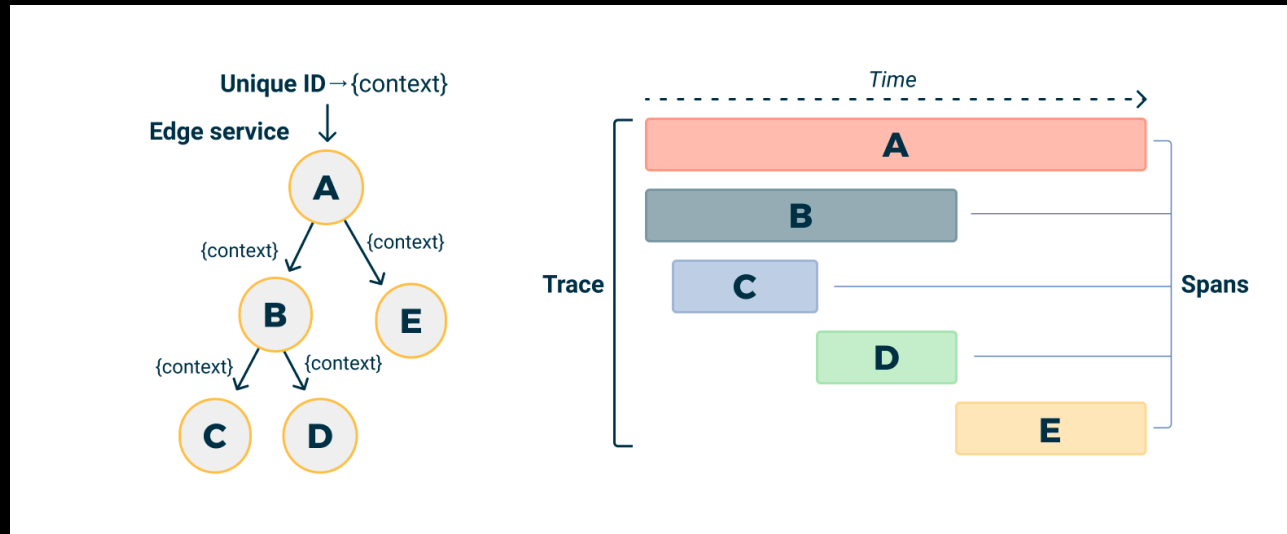


RESOURCE USAGE?



## OBSERVABILITY

- Observability in Spring Framework 6.0
  - **Logs** and **Metrics** already supported in 5.0
  - **Tracing**: New module, **Micrometer Tracing**
    - » Based on **spring-cloud-sleuth**
    - » Traces are reported as a **trace tree** of **spans** based on OpenTelemetry
    - » **Contexts** based on W3C Trace Context



## OBSERVABILITY

- Tracing in Spring Framework 6.0

- Built-in support

- » Creates traces for incoming requests, if missing
- » Propagates to outgoing requests
- » Supports both synchronous and asynchronous requests
- » Propagates to logs

- Dependencies

```
implementation 'io.micrometer:micrometer-tracing-bridge-otel'
implementation 'io.opentelemetry:opentelemetry-exporter-zipkin'
```

- » Support for alternative Tracer Implementations

- No auto propagation (yet) for reactive libraries, e.g. Spring WebFlux

- » Spring Boot 3 Webflux project missing traceId and spanId in logs
- » Context Propagation Library

Bridge Observability API  
to OpenTelemetry



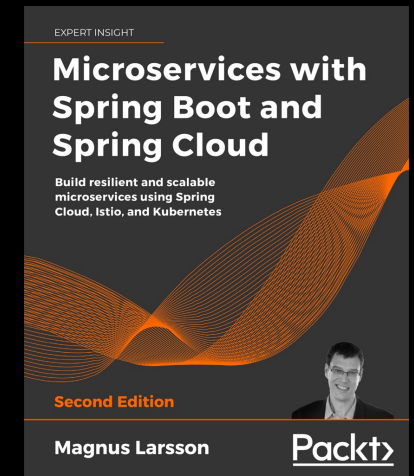
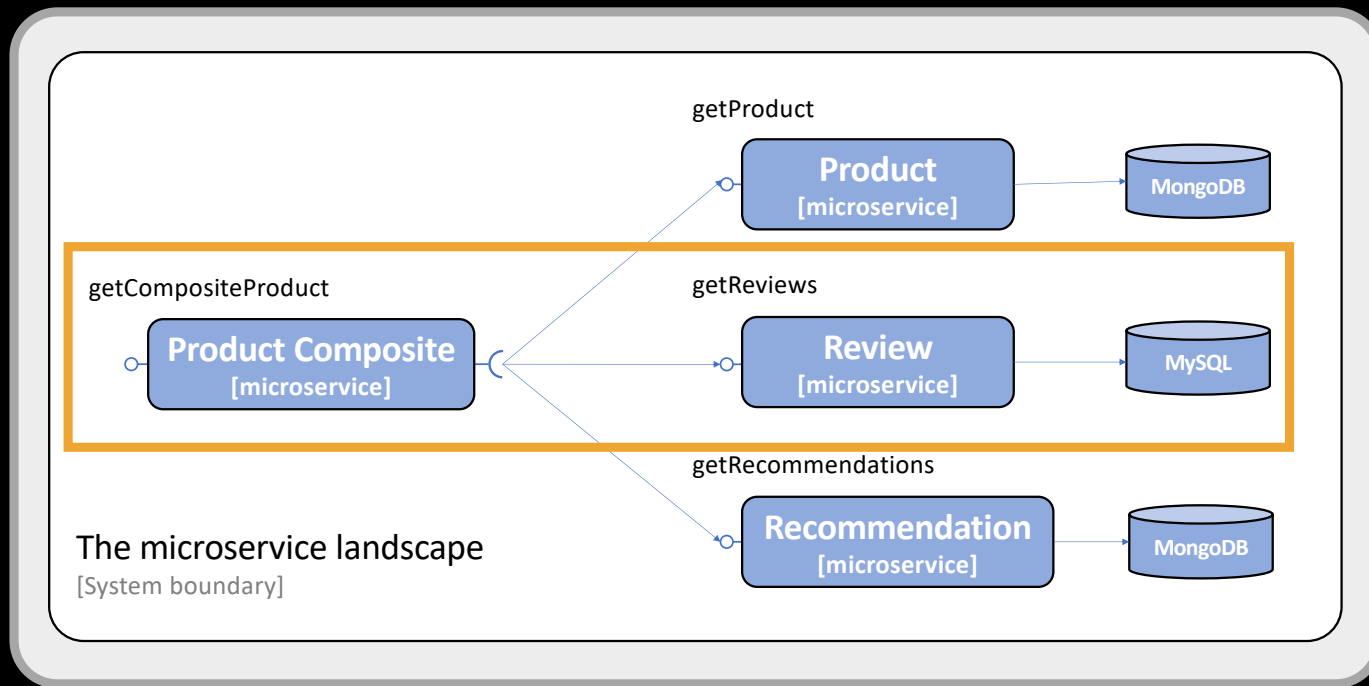
Report to a Zipkin  
compatible tracer

## OBSERVABILITY

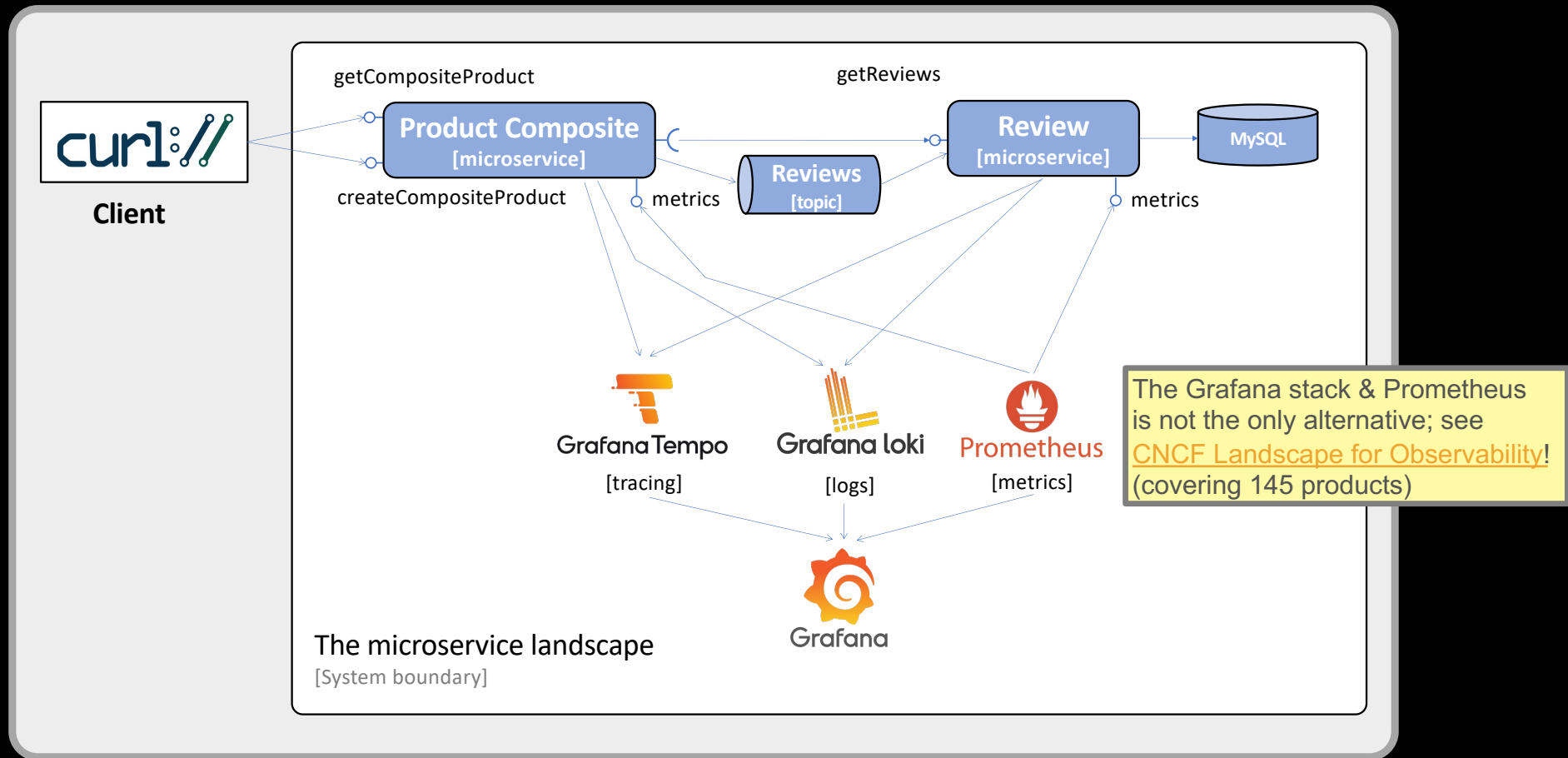
- Tracing in Spring Framework 6.0
  - Programmatically
    - » Spring abstraction **Observation**
    - » Custom spans and contexts can be created

```
120 @ResponseStatus(HttpStatus.ACCEPTED)
121 @DeleteMapping(value = "/api/product-composite/{productId}")
122 void deleteProduct(@PathVariable int productId) {
123
124 Observation.createNotStarted(name: "composite.delete.observation", registry)
125 .highCardinalityKeyValue("productId", "" + productId) ← CONTEXT KEY VALUE
126 .contextualName("composite.delete.context") ← CONTEXT NAME
127 .observe(() -> {
128 log.info("Will send a message for deletion of all reviews for product id {}", productId);
129 pci.deleteReviewsBIO(productId);
130 });
131 }
```

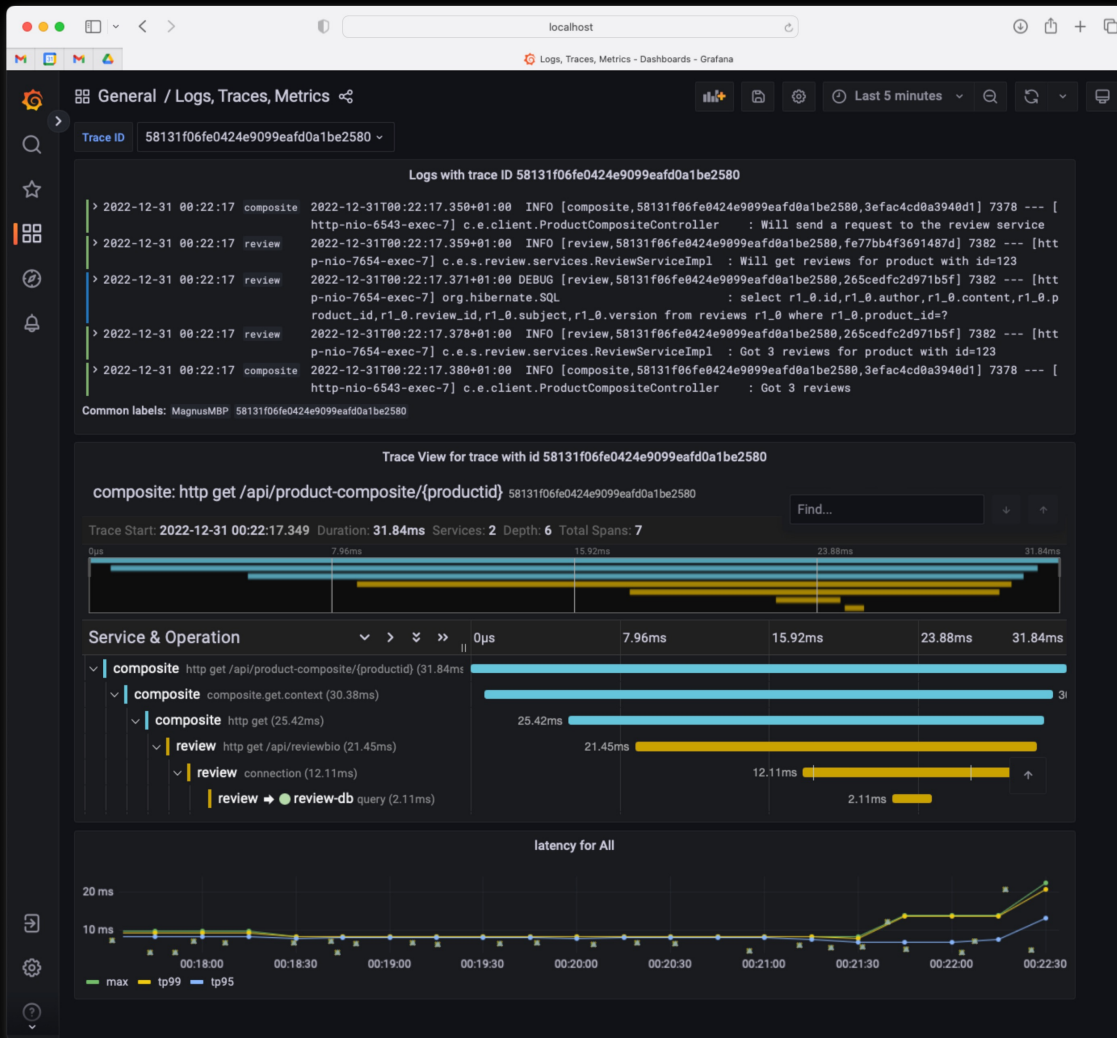
# DEMO: OBSERVABILITY



# DEMO: OBSERVABILITY



# DEMO: OBSERVABILITY



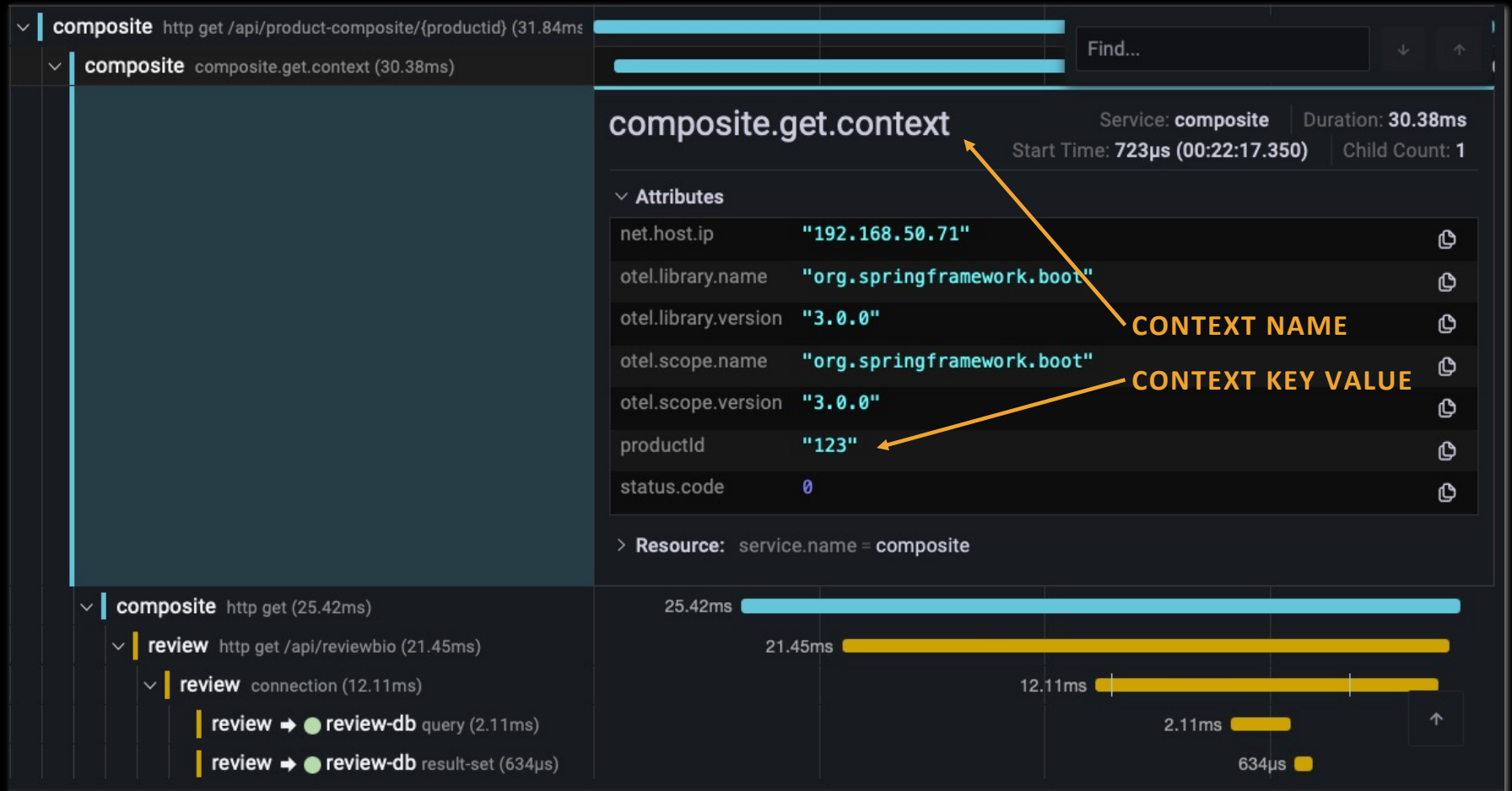
LOGS

TRACES

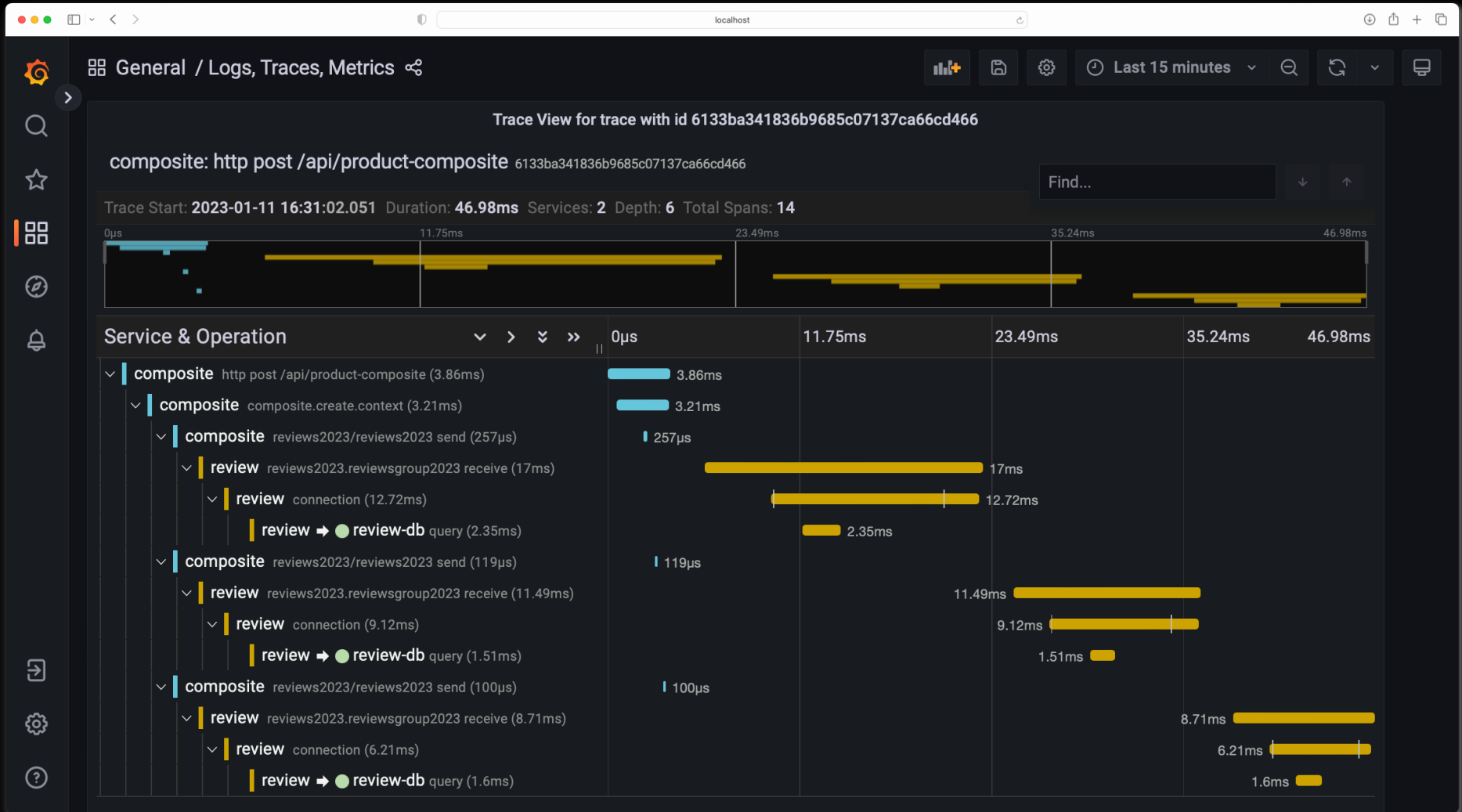
METRICS



# DEMO: OBSERVABILITY



# DEMO: OBSERVABILITY



## AGENDA

- Overview
- Migration
- Native Compile
- Observability
- **Summary**

## SUMMARY

- With Spring Boot 3, a new foundation is in place
  - Expect a lot of improvements to come over the following years...
- Migration
  - Upgrade to Java 17 and **jakarta** package names
  - Remove deprecated code
- Native Compile
  - Use if start-up time is important
  - Test and build native images in CI/CD build pipeline
  - Reduce startup times running Java VM in AOT-mode
- Observability
  - Built-in auto-configuration for tracing
  - One interface, **Observation**, to abstract them all
  - One dashboard to observe them all

QUESTIONS?



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EXPERT INSIGHT

# Microservices with Spring Boot and Spring Cloud

Build resilient and scalable  
microservices using Spring  
Cloud, Istio, and Kubernetes

## LEGACY WARNING BASED ON SPRING BOOT 2

Second Edition



Magnus Larsson

Packt>

QUESTIONS?



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EXPERT INSIGHT

# Microservices with Spring Boot 3.0 Spring Cloud

Build resilient and scalable  
microservices using Spring  
Cloud, Istio, and Kubernetes

Mid-year 2023  
(hopefully...)

THIRD

~~Second~~ Edition



Magnus Larsson

Packt